

#7 2 Sector #  
PATENT  
Customer No. 22,852  
Attorney Docket No. 08157.0010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Paul GILSON et al. )  
 )  
Application No.: 09/838,545 / ) Group Art Unit: 3737  
 )  
Filed: April 20, 2001 ) Examiner: Unknown  
 )  
For: AN EMBOLIC PROTECTION )  
SYSTEM )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

TRANSMITTAL LETTER

Enclosed please find the following:

1. Petition for Extension of Time (5 months) (1 page);
2. A Response to Notice to File Missing Parts of Nonprovisional Application (1 page) including a copy of the Notice (2 pages) and an executed Declaration & Power of Attorney document (2 pages);
4. An Assignment Recordation Cover Sheet (1 page) with executed Assignment document (2 pages);
5. Claim for Priority (1 page) with seven certified priority documents;
6. Submission of Formal Drawings (1 page) w/ 35 sheets (Figs. 1-85);
7. Supplemental Preliminary Amendment (12 pages); and
8. A check in the amount of \$3,800.00 to cover the \$740.00 filing fee, \$930.00 additional claims fee, \$1,960.00 extension of time fee, \$130.00 surcharge fee, and \$40.00 Assignment fee.

LAW OFFICES


FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000

09838545-010000

**SECRET**

Please grant any extensions of time required and charge any additional required fees to our deposit account no. 06-0916.

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Roland G. McAndrews, Jr.  
Reg. No. 41,450

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For: AN EMBOLIC PROTECTION )  
SYSTEM )

Attn: **BOX MISSING PARTS**  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**


In response to the Notice to File Missing Parts of Nonprovisional Application dated June 11, 2001, Applicants submit herewith the required surcharge of \$130.00, an executed Declaration and Power of Attorney document, and a copy of the Notice to File Missing Parts of Nonprovisional Application.

Please grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account no. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 8, 2002

By:   
Roland G. McAndrews, Jr.  
Reg. No. 41,450

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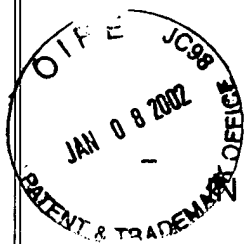


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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assistant Commissioner for Patents  
Washington, DC 20231

Sir:


**SUBMISSION OF FORMAL DRAWINGS**

Subject to the approval of the Examiner, please replace the originally filed drawings with the 35 sheets of formal drawings filed herewith (Figs. 1-85). If the formal drawings for any reason are not in full compliance with the pertinent statutes and regulations, please so advise the undersigned.

If any fees are necessary for the submission of these formal drawings, please charge our deposit account no. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Roland G. McAndrews, Jr.  
Reg. No. 41,450

Dated: January 8, 2002

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09/838,545-01000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,545	04/20/2001	Paul Gilson	08157.0010

CONFIRMATION NO. 9270

## FORMALITIES LETTER



\*OC000000006169379\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 06/11/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1606.
  - \$936 for 52 total claims over 20.
  - \$400 for 5 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2446.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a

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740.00 OP  
846.00 OP  
84.00 OP  
130.00 OP

01 FC:101  
02 FC:103  
03 FC:102

bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE